

3/5/2004 Docket No.: KAW-247-USAP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAR 05 2004

Serial No.: 09/808,945

Confirmation No.: 5294

Applicant: Toshiaki KATSUMA

Art Unit: 2655

Filed: March 16, 2001

Examiner: Patel, Gautam

Docket No: KAW-247-USAP

Customer No: 28892

For: DIFFRACTION TYPE LENS AND OPTICAL PICKUP APPARATUS USING THE SAME

TRANSMITTAL

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Sir: TRANSMITTED HEREWITH IS

A RESPONSE, AN AMENDMENT, AN AMENDMENT AFTER FINAL,

IN THE ABOVE-IDENTIFIED APPLICATION.

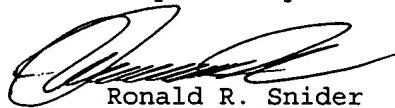
Small entity status of this application under 37 CFR §1.9(f) is established
by a verified statement under 37 CFR §1.27 previously submitted.
 submitted herewith.

No additional fee is required, as shown below.

| CLAIMS PENDING AFTER AMENDMENT | | CLAIMS PREVIOUSLY PAID | | NEW CLAIMS EXTRA | ADDITIONAL FEES | | | |
|-----------------------------------|---|------------------------------|----|------------------------|-----------------|------|----------------------------|------|
| | | | | | SMALL ENTITY | | OTHER THAN SMALL ENTITY | |
| TOTAL | 5 | MINUS | 20 | = 0 | x\$9= | 0.00 | x\$18= | 0.00 |
| INDEP | 2 | MINUS | 3 | = 0 | x43= | 0.00 | x\$86= | 0.00 |
| NEW MULTIPLE DEPENDENT CLAIMS | | | | 0 | x\$145= | 0.00 | x\$290= | 0.00 |
| AND CLAIMS DEPENDENT THEREON | | | | 0 | x\$145= | 0.00 | x\$290= | 0.00 |
| TOTAL ADDITIONAL FEE | | | | | 0.00 | | 0.00 | |

This amount is believed to be correct, however, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 19-2816. This authorization applies to both filing fees under 37 CFR §1.16 and processing fees (such as extension of time) under 37 CFR §1.17. A duplicate copy of this transmittal is attached.

Respectfully Submitted,



Ronald R. Snider
Registration No. 24,962

Date: March 5, 2004

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S/AL P05/808,945

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Sir:

This paper is responsive to the Office Action dated December 9, 2003. Claims 1, 2 and 4 - 6 are pending in the application. Claims 1, 2, 5 and 6 are rejected and claim 4 has been objected to. The sole amendment in this paper is made to correct spacing in claim 1.

Amendments to the specification begin on page 2 of this paper.

Amendments to the claims are reflected in the listing of claims, which begins on page 3 of this paper.

Remarks begin on page 6 of this paper.